

14230 U.S. PTO
012204

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

22390 U.S. PTO
10/762667



Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): Naotoshi NISHIOKA

Title DEVICE AND METHOD FOR CONTROLLING DATA
TRANSFER

Atty. Dkt.: 0307858 | H8013US
C-M | Client Ref

including:

Date: January 22, 2004

1. Specification: 16 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 11 numbered claims
4. ☒ Drawings: 5 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 6 or 7). (Prior M# SN)
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

| | | | |
|---------|---|-------|--|
| No. | / | filed | |
| No. | / | filed | |
| No. | / | filed | |
| No. PCT | / | filed | |

, which

designated the US.

7. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US application(s)

8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9. ☒ Assignee Yamaha Corporation

Address 10-1, Nakazawa-cho, Hamamatsu-shi, Shizuoka-ken, Japan

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. (country)

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|------------------|---|-------------|
| (1) 2003-017681 | January 27, 2003 | (2) | |
| (3) | | (4) | |
| (5) | | (6) | |
| (7) | | (8) | |
| (9) | | <input type="checkbox"/> See 3 rd page for additional priorities | |

12. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) filed on

13. Small entity status → ☒ is not claimed; ☐ is claimed (Pre-filing confirmation required)

- 13(a). ☐ Attached: (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)

- 13(b) ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (PAT-258)

14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1) | | (4) | |
| (2) | | (5) | |
| (3) | | (6) | |

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

| | | | | Large/Small Entity | | Fee Code |
|---|----|------------|----|---------------------------|--------------|-----------|
| 18. Basic Filing Fee | | | | \$770/\$385 | \$770 | 1001/2001 |
| 19. Total Effective Claims | 11 | minus 20 = | *0 | x \$18/\$9 = | + 0 | 1202/2202 |
| 20. Independent Claims | 2 | minus 3 = | *0 | x \$86/\$43 = | + 0 | 1201/2201 |
| *If answer is zero or less, enter "0" | | | | | | |
| 21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application) | | | | + \$290/\$145 | + 0 | 1203/2203 |
| 22. | | | | TOTAL FILING FEE = | \$770 | |
| 23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee | | | | + \$130 | + 0 | 1053 |
| 24. If "assignment" box 8 is X'd, add recording fee | | | | + \$40 | + 40 | 8021 |
| 25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No. | | | | + \$130 | + 0 | 1808 |
| 26. | | | | TOTAL FEE = | \$810 | |

Our Deposit Account No. 03-3975

Our Order No. 051270 0307858

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

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RRW/JES

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

PATENT
0307858

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application

Naotoshi NISHIOKA

Serial No.: Not Assigned

Filed: January 22, 2004

Group No.: Not Assigned

Examiner: Not Assigned

For: **DEVICE AND METHOD FOR CONTROLLING DATA
TRANSFER**

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 860 936 728 US

Date of Deposit: January 22, 2004

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

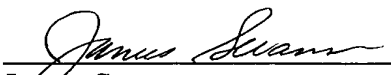
- ☒ Letter of transmittal
- ☒ Patent application (16 pages of specification; 11 claims; 1 pages of abstract)
- ☒ A check in the amount of \$770.00 to cover the filing fee
- ☒ 5 sheets of formal drawings
- ☒ A Declaration/Power of Attorney
- ☒ An Assignment of the invention with cover sheet and \$40.00 recordation fee
- ☒ An Information Disclosure Statement with 1 reference(s) and form PTO-1449
- ☒ Certified copy of (1) Japanese patent application from which priority is claimed
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

January 22, 2004

Date of Deposit


James Swanson